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## **Joint interpretation of enantiomer and stable isotope fractionation for chiral pesticides degradation**

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### **Highlights**

- Integrated model for concentrations, enantiomers and stable isotopes fractionation
- Joint quantitative approach to interpret dual enantiomer and stable isotope data
- Characterization of isotope and enantiomer selective reaction mechanisms
- Model validation based on chiral pesticides degradation data

1   **Abstract**

2   Chiral pesticides are important contaminants affecting the health and functioning of aquatic systems.  
3   The combination of stable isotope and enantiomer analysis techniques has been recently proposed  
4   to better characterize the fate of these contaminants in natural and engineered settings. We  
5   introduce a modeling approach with the aim of unifying and integrating the interpretation of  
6   isotopic and enantiomeric fractionation. The model is based on the definition of enantiomer-specific  
7   isotopologues and jointly predicts the evolution of concentration, enantiomer fractionation, as well  
8   as changes in stable isotope ratios of different elements. The method allows evaluating different  
9   transformation pathways and was applied to investigate enzymatic degradation of dichlorprop  
10  (DCPP), enzymatic degradation of mecoprop methyl ester (MCPPM), and microbial degradation of  
11   $\alpha$ -hexachlorocyclohexane ( $\alpha$ -HCH) by different bacterial strains and under different redox  
12  conditions. The model accurately reproduces the isotopic and enantiomeric data observed in  
13  previous experimental studies and precisely captures the dual-dimensional trends characterizing  
14  different reaction pathways. Furthermore, the model allows testing possible combinations of  
15  enantiomer analysis (EA), compound specific isotope analysis (CSIA), and enantiomer specific  
16  isotope analysis (ESIA) to identify and assess isotope and enantiomer selective reaction  
17  mechanisms.

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19  *Keywords:* Pesticides; Enantiomer analysis; CSIA; ESIA; Degradation pathways

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## 25 **1. Introduction**

26 Organic pesticides are applied in many anthropogenic activities and constitute an increasing threat  
27 to the quality and health of aquatic systems (Fenner et al., 2013; Schwarzenbach et al., 2006).  
28 Pesticides are frequently detected in drinking water wells (Spliid and Køppen, 1998; Turner et al.,  
29 2006; Vorkamp et al., 2014) and are a primary reason causing the shutdown of drinking water  
30 supplies (e.g., Thorling, 2015). Pesticides can bypass wastewater treatment plants and enter natural  
31 aquatic systems (Lapworth et al., 2012; McKnight et al., 2015; Pal et al., 2010) posing serious risks  
32 to aquatic life and human health (Schwarzenbach et al., 2010). Chiral compounds represent an  
33 important fraction of organic pesticides released in the environment (Wong, 2006; Zipper et al.,  
34 1998). These chemicals are dispensed as mixtures of two enantiomers (i.e., a pair of molecular  
35 entities which are mirror images of each other and nonsuperposable, IUPAC 2014). They are of  
36 special interest and concern due to the fact that stereoisomers of one chiral compound often have  
37 different biological fate and toxic effects (Bollmann et al., 2014; Petrie et al., 2014). Thus, detailed  
38 understanding of the environmental distribution and the degradation processes of chiral pesticides is  
39 essential for risk assessment and for evaluating the hazardous effects of these organic compounds in  
40 both wastewater treatment systems and natural aquatic environments (Stenzel et al., 2013; Wong,  
41 2006).

42 Due to the complexity in assessing the fate of organic pollutants in environmental systems, where  
43 intricate and coupled physical and biochemical processes hinder quantitative evaluations, it is  
44 necessary to combine conventional and innovative approaches. Concentration analyses of mother  
45 compounds and their metabolites are typically applied and represent a primary source of  
46 information. However, this approach is often not conclusive, since it is hampered by the difficulty  
47 to distinguish between transformation and dilution processes. For chiral compounds, enantiomer

analysis (EA) is an additional valuable tool to demonstrate the occurrence of biotransformation processes (Rügge et al., 2002). This approach analyzes changes of enantiomeric compositions occurring during enantioselective biochemical transformations. Another independent approach is compound specific isotope analysis (CSIA) which determines the isotopic evolution during degradation processes. Recently, stable isotope techniques have been developed at a fast pace and applied in various experimental (e.g., Sakaguchi-Söder et al., 2007, Bashir et al., 2015; Elsayed et al., 2014; Jin et al., 2014; Rolle et al., 2010; Schmidt and Jochmann, 2012) and modeling studies (e.g., Prommer et al., 2009; Eckert et al., 2012; Jin et al., 2013; Thullner et al., 2012; Van Breukelen and Rolle, 2012). A further advance is enantiomer specific isotope analysis (ESIA), which allows analyzing the isotopic composition of individual enantiomers (Badea et al., 2011; Maier et al., 2013). Facilitated by the developments of analytical techniques, a number of recent experimental studies have proposed to combine enantiomer and isotope analyses to investigate the fate of different chiral organic pollutants, including phenoxy acids (Milosevic et al., 2013; Qiu et al., 2014), hexachlorocyclohexane isomers (Badea et al., 2011; Bashir et al., 2013) and phenoxyalkanoic methyl herbicides (Jammer et al., 2014). Such approach has shown great potential to decipher degradation mechanisms of different chiral compounds. In fact, different reaction pathways are characterized and effectively visualized by plotting enantiomer ratios together with stable isotope ratios (Bashir et al., 2013; Jammer et al., 2014; Milosevic et al., 2013; Qiu et al., 2014). During the reaction of chiral organic contaminants, stable isotope fractionation occurs due to mass differences of isotopologues of individual enantiomers. Specifically, the mass differences of isotopologues result in different bond strength, and thus undergo reaction processes at different rates. Enantiomers, instead, have the same mass and bond energy, therefore enantiomer enrichment in a biochemical reaction is due to different geometrical recognitions of the two enantiomer molecules (Jammer et al., 2014; Wong, 2006). Although the fractionation of stable isotope and enantiomers is due to different

mechanisms, they are intimately related and occur simultaneously. Even though isotope and enantiomer fractionation occur simultaneously during chiral pesticide degradation, the two fractionating systems are evaluated independently and, only subsequently, they are merged in two-dimensional representations for mechanistic interpretation. Therefore, a first-principle based modeling approach aiming at unifying the information gained from enantiomer and stable isotope analyses is required. Such development will contribute to improve our capability to interpret combined enantiomer and isotope signals, as well as to overcome some of the challenges emerging from experimental studies in which nonlinear patterns are commonly observed due to the significantly different extents of enantiomer and stable isotope fractionation.

The objective of this work is to provide an integrated evaluation scheme to describe and interpret the evolution of enantiomer and stable isotope ratios during the degradation of chiral pollutants. The proposed framework allows the joint and simultaneous description of: (i) concentrations of parent compounds and metabolites, (ii) enantiomer fractionation, and (iii) stable isotope evolution. The approach is validated with recently published concentration, enantiomer and stable isotope data of important chiral pesticides such as dichlorprop (DCPP), mecoprop methyl ester (MCPPM) and  $\alpha$ -hexachlorocyclohexane ( $\alpha$ -HCH). The model is also used to test the applicability and the potential of different two-dimensional approaches combining stable isotope fractionation (as individual enantiomers or as compound average) with enantiomer analysis to characterize different reaction mechanisms of chiral pesticides degradation.

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## 2. Material and methods

### 2.1. Enantiomer-specific isotope modeling

The modeling framework originates from the main idea of incorporating mechanistic information on contaminants degradation in model-based interpretation of stable isotope data (Jin and Rolle,

2014). A major challenge addressed by the proposed approach is to consistently integrate the quantitative description of enantiomer and stable isotope evolution. Enantiomers are normally denoted according to their molecular configuration (*R* and *S*) or optical activity (+ and -) (Wong, 2006). To illustrate our approach, we consider an example using *R* and *S* enantiomers undergoing different extents of degradation and resulting in the enrichment of one enantiomer. An example using the optical activity notation is outlined in the last section of the Supplementary Material. Besides enantioselectivity, the cleavage of chemical bonds during degradation of a chiral compound also causes stable isotope fractionation in both *R* and *S* enantiomeric molecules. As many organic micropollutants, chiral organic pesticides often have large molecular size and thus it is efficient to track directly the atoms experiencing isotope effects during transformation processes (Jin and Rolle, 2015). Therefore, it is convenient to define enantiomer-specific isotopologues: enantiomer molecules with different isotopic compositions at reactive positions. The proposed model can be formulated for single- and multi-element isotope fractionation. In the following we illustrate the procedure for a dual-element system. Considering the occurrence of isotopically-sensitive atoms of two elements, X and Y, the relative abundances of the  $j^{th}$  enantiomer-specific isotopologues for *R* and *S* enantiomers are given by the product of the abundance of each isotope (Hofstetter et al., 2007; Sakaguchi-Söder et al., 2007):

$$A_j^R = \prod_{i=1}^{m_X} (X_{H,i}^{v_i} \cdot X_{L,i}^{1-v_i}) \cdot \prod_{h=1}^{m_Y} (Y_{H,h}^{u_h} \cdot Y_{L,h}^{1-u_h}) \quad (1)$$

$$A_j^S = \prod_{i=1}^{m_X} (X_{H,i}^{v_i} \cdot X_{L,i}^{1-v_i}) \cdot \prod_{h=1}^{m_Y} (Y_{H,h}^{u_h} \cdot Y_{L,h}^{1-u_h}) \quad (2)$$

where  $A_j^S$  and  $A_j^R$  are the relative abundances of the  $j^{th}$  enantiomer-specific isotopologue of *R* and *S* enantiomer.  $A_j^S$  and  $A_j^R$  are expressed considering exclusively the isotope abundances of atoms X and Y at fractionating positions. Each enantiomer isotopologue can contain up to  $m_X$ , total X atoms,

116 and  $m_Y$ , total Y atoms, at fractionating positions. The indexes  $i$  and  $h$  identify X and Y atoms,  
 117 respectively, at different fractionating positions within a given molecule.  $X$  and  $Y$  are the  
 118 abundances of X and Y isotopes, respectively. Such abundances are raised to the exponents  $v$  and  $u$ ,  
 119 which can assume binary values (0 or 1) accounting for the occurrence of heavy (i.e.,  $v=1$ ;  $u=1$ ) and  
 120 light (i.e.,  $v=0$ ;  $u=0$ ) isotopes at each fractionating position.

121 For a specific reaction, isotopes of one element in the  $j^{\text{th}}$  enantiomer-specific isotopologue are  
 122 fractionating according to the corresponding apparent kinetic isotope effect, AKIE (Elsner et al.,  
 123 2005):

$$\alpha^R = AKIE_R^{-1} \approx 1 + \frac{n_R}{m_R} \cdot \varepsilon_{bulk}^R \quad (3)$$

$$\alpha^S = AKIE_S^{-1} \approx 1 + \frac{n_S}{m_S} \cdot \varepsilon_{bulk}^S \quad (4)$$

124 These equations can be written both for X and Y isotopes.  $\alpha$  is the fractionation factor for the  $R$  or  
 125  $S$  enantiomer,  $\varepsilon$  is the bulk enrichment factor of individual enantiomers,  $n$  is the total number of  
 126 atoms of X or Y element in one enantiomer molecule,  $m$  is the number of atoms of one element  
 127 located at fractionating positions in the  $R$  or  $S$  enantiomer. Accurate estimates of AKIE values are  
 128 important for the proposed modeling approach. Besides the calculations based on Eqs. 3 and 4, the  
 129 advances in analytical techniques, as well as in theoretical calculations and computational chemistry,  
 130 are likely to provide more direct insight on AKIEs based on position-specific isotope measurements,  
 131 as well as on computational chemistry predictions (e.g., Breider and Hunkeler, 2011; Grzybkowska  
 132 et al., 2014; Świderek and Paneth, 2012; Wuerfel et al., 2013).

133

134 We track the concentration evolution of each enantiomer-specific isotopologue according to a  
 135 specified kinetic rate law. To demonstrate the approach we consider a first-order kinetic, however



the model is quite general and similar governing equations can be formulated for more complex degradation kinetics, including Michaelis-Menten kinetic coupling the contaminant degradation to biomass dynamics (see details in the Supplementary Material). For a first-order reaction rate, the  $j^{th}$  enantiomer-specific isotopologues of  $R$  and  $S$  enantiomers can be defined as:

$$r_j^R = k_R \cdot C_j^R \cdot \prod_{i=1}^{m_X} (\alpha_{X,i}^R)^{v_i} \cdot \prod_{h=1}^{m_Y} (\alpha_{Y,h}^R)^{u_h} \quad (5)$$

$$r_j^S = k_S \cdot C_j^S \cdot \prod_{i=1}^{m_X} (\alpha_{X,i}^S)^{v_i} \cdot \prod_{h=1}^{m_Y} (\alpha_{Y,h}^S)^{u_h} \quad (6)$$

where  $r_j$  is the reaction rate for the  $j^{th}$  enantiomer-specific isotopologue of  $R$  or  $S$  enantiomer,  $\alpha$  is the fractionation factor as defined in Eqs. 3 and 4,  $C_j$  is the concentration of the  $j^{th}$  enantiomer-specific isotopologue, and  $m$ ,  $n$ ,  $v$  and  $u$  are defined in Eqs. 1 and 2.

The concentration change of  $R$  and  $S$  enantiomers is described by tracking each enantiomer-specific isotopologue, respectively:

$$\frac{dC_j^R}{dt} = -r_j^R \quad (7)$$

$$\frac{dC_j^S}{dt} = -r_j^S \quad (8)$$

145

where  $C_j^R$  and  $C_j^S$  are the concentrations of the  $j^{th}$   $R$  and  $S$  enantiomer-specific isotopologues,  $t$  is the time, and  $r_j$  is the reaction rate of the  $j^{th}$  enantiomer-specific isotopologue. The concentrations of individual enantiomers are obtained by summing the concentration of each enantiomer-specific isotopologue. The initial concentrations of the enantiomer-specific isotopologues are the product of the initial total concentration of  $R$  and  $S$  enantiomers with the corresponding initial abundances (Eqs. 1 and 2).

152 The enantiomer ratio (*ER*) and the enantiomer fraction (*EF*) are normally used to describe  
 153 enantiomer enrichment of chiral compounds (Harner et al., 2000; Jammer et al., 2014; Qiu et al.,  
 154 2014). In the proposed framework, *ER* and *EF* can be computed considering the concentrations of  
 155 enantiomer-specific isotopologues:

$$ER = \frac{C_S}{C_R} = \frac{\sum_{j=1}^N C_j^S}{\sum_{j=1}^N C_j^R} \quad (9)$$

$$EF_R = \frac{C_R}{C_R + C_S} = \frac{\sum_{j=1}^N C_j^R}{\sum_{j=1}^N C_j^R + \sum_{j=1}^N C_j^S} \quad (10)$$

$$EF_S = \frac{C_S}{C_R + C_S} = \frac{\sum_{j=1}^N C_j^S}{\sum_{j=1}^N C_j^R + \sum_{j=1}^N C_j^S} \quad (11)$$

156

157 where  $C_R$  and  $C_S$  are the concentrations of individual enantiomers,  $C_j$  is the concentration of each  
 158 enantiomer-specific isotopologue for R or S enantiomer, and  $N$  is the total number of enantiomer-  
 159 specific isotopologues.

160 The isotope ratios of elements X and Y at positions experiencing isotope effects in *R* or *S*  
 161 enantiomer-specific isotopologues are calculated by considering the total number of heavy and light  
 162 isotopes (Jin et al., 2011), and are expressed as:

$$R_{X,R}' = \frac{\text{Tot}(X_H)}{\text{Tot}(X_L)} = \frac{C_j^R \cdot \sum_{i=1}^{m_X} v_i}{C_j^R \cdot \sum_{i=1}^{m_X} (1 - v_i)} \quad (12)$$

$$R'_{X,S} = \frac{\text{Tot}(X_H)}{\text{Tot}(X_L)} = \frac{C_j^S \cdot \sum_{i=1}^{m_X} v_i}{C_j^S \cdot \sum_{i=1}^{m_X} (1 - v_i)} \quad (13)$$

$$R'_{Y,R} = \frac{\text{Tot}(Y_H)}{\text{Tot}(Y_L)} = \frac{C_j^R \cdot \sum_{h=1}^{m_Y} u_h}{C_j^R \cdot \sum_{h=1}^{m_Y} (1 - u_h)} \quad (14)$$

$$R'_{Y,S} = \frac{\text{Tot}(Y_H)}{\text{Tot}(Y_L)} = \frac{C_j^S \cdot \sum_{h=1}^{m_Y} u_h}{C_j^S \cdot \sum_{h=1}^{m_Y} (1 - u_h)} \quad (15)$$

163 in which  $R'_X$  and  $R'_Y$  are the isotope ratios of all the X and Y atoms on isotopically-sensitive  
 164 positions of each enantiomer at a given point in time,  $C_j$  is the concentration of the  $j^{\text{th}}$  enantiomer-  
 165 specific isotopologue at that point in time, and  $m$ ,  $v$  and  $u$  are defined in Eqs. 1 and 2.

166 Enantiomer-specific isotope ratios (i.e., stable isotope ratios of individual enantiomers) are  
 167 calculated at each point in time by taking into account changes of stable isotope ratios at  
 168 fractionating positions and the dilution effects from non-fractionating positions in the enantiomer  
 169 molecules as well as the initial bulk isotope ratio  $R_0$ :

$$R_{X,R} = R'_{X,R} \cdot \frac{m_X}{n_X} + R_{0,X} \cdot \frac{n_X - m_X}{n_X} \quad (16)$$

$$R_{X,S} = R'_{X,S} \cdot \frac{m_X}{n_X} + R_{0,X} \cdot \frac{n_X - m_X}{n_X} \quad (17)$$

$$R_{Y,R} = R'_{Y,R} \cdot \frac{m_Y}{n_Y} + R_{0,Y} \cdot \frac{n_Y - m_Y}{n_Y} \quad (18)$$

$$R_{Y,S} = R'_{Y,S} \cdot \frac{m_Y}{n_Y} + R_{0,Y} \cdot \frac{n_Y - m_Y}{n_Y} \quad (19)$$

where  $R$  is the enantiomer-specific isotope ratio of element X or Y,  $R'$  is the corresponding isotope ratios of atoms at fractionating positions as computed in Eqs. 12-15.

Although a few recent contributions reported enantiomer-specific isotope ratios (ESIA), bulk isotope ratios determined by compound specific isotope analysis (CSIA) are commonly measured in most experimental studies. Bulk isotope ratios can be related to enantiomer-specific isotope ratios by the following weighted averages:

$$R_X = R_{X,R} \cdot EF_R + R_{X,S} \cdot EF_S \quad (20)$$

$$R_Y = R_{Y,R} \cdot EF_R + R_{Y,S} \cdot EF_S \quad (21)$$

where  $R_X$  and  $R_Y$  are the bulk isotope ratios of a chiral organic compound,  $R_{X,R}$ ,  $R_{X,S}$ ,  $R_{Y,R}$  and  $R_{Y,S}$  are the enantiomer-specific isotope ratios and  $EF_R$  and  $EF_S$  are the enantiomer fractions of individual enantiomers as defined in Eqs. 10 and 11.

The proposed model is developed in MATLAB® and it is applied to describe contaminant degradation in batch systems. The governing equations presented above (Eqs. 5-8) are solved for three selected illustrative examples of chiral compounds degradation. The specific derivation of the governing equations for the first illustrative example is described in the Supplementary Material. The document also provides a table summarizing the enantiomer-specific isotopologues and their number for the different examples considered. In all cases the reaction kinetics, described with a first-order or with a Michaelis-Menten formulation, were fitted to the concentration data provided in recently published experimental studies. The trust-region-reflective method implemented in the MATLAB® function *lsqnonlin* was used to minimize the sum of normalized squared errors between the observed and simulated concentration data. Enantiomer ratios and fractions, as well as

190 stable isotope ratios, were not fitted but evaluated with Eqs. 9-21 and directly compared to the  
191 experimental results. Details on the fitting procedure, as well as an overview of the fitted  
192 parameters are also available in the Supplementary Material.

193

## 194 **2.2. Examples of chiral pesticides degradation**

195 We test our modeling approach considering the degradation of three important chiral pesticides.  
196 These examples are enzymatic degradation of dichlorprop (DCPP), enzymatic degradation of  
197 mecoprop methyl ester (MCPPM) and aerobic and anaerobic biodegradation of  $\alpha$ -  
198 hexachlorocyclohexane ( $\alpha$ -HCH). The chemical structures, the reaction mechanisms, the target  
199 stable isotopes and the reactive bonds of these chiral compounds are illustrated in Table 1. Recent  
200 experimental studies have provided high-quality data on enantioselectivity as well as on compound  
201 specific (CSIA) and enantiomer specific stable isotope analysis (ESIA) for these chemicals and are  
202 used to validate the joint quantitative approach proposed in this study.

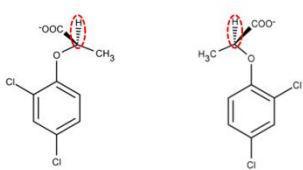
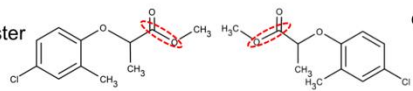
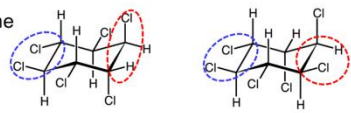
203 Dichlorprop (DCPP) is a phenoxy acid commonly used as herbicide to control weeds. It is  
204 frequently detected in groundwater systems (Spliid and Køppen, 1998). Enantiomer fractionation  
205 and enantiomer specific carbon isotope fractionation have been observed during enzymatic  
206 degradation of DCPP by enzyme RdpA from *Sphingobium herbicidovorans* MH (Qiu et al., 2014).  
207 In order to investigate enantiomer-specific degradation mechanisms of DCPP, a two-dimensional  
208 approach combining enantiomer analysis (EA) and enantiomer specific isotope analysis (ESIA) has  
209 been applied. The data provided for DCPP degradation were used to validate the capability of the  
210 proposed modeling approach to simultaneously predict the evolution of R- and S-DCPP enantiomer  
211 concentrations, the formation of the reaction product, as well as the joint evaluation of C-ESIA  
212 isotope ratios and enantiomer fractionation.

213 Mecoprop methyl ester (MCPPM) is a phenoxyalkanoic methyl herbicide, which is a contaminant  
214 frequently found in aquatic environments. Enantiomer fractionation and compound specific isotope  
215 fractionation (CSIA) of this chemical have been recently observed during enzymatic reactions by  
216 different types of lipase enzymes from distinct microbial strains including *Pseudomonas*  
217 *fluorescens*, *Candida rugose* and *Pseudomonas cepacia* (Jammer et al., 2014). This dataset was of  
218 interest since it allowed testing the ability of the integrated model to characterize distinct reaction  
219 pathways by combining enantiomer analysis (EA) and bulk (i.e., compound average) isotope ratios  
220 from compound specific isotope analysis (CSIA).

221 The third and final application is focused on biodegradation of  $\alpha$ -Hexachlorocyclohexane ( $\alpha$ -HCH).  
222  $\alpha$ -HCH is one of the dominant byproducts during the production of Lindane ( $\gamma$ -HCH), a widely  
223 produced and applied insecticide (Lal et al., 2010; Phillips et al., 2005). Carbon ESIA and  
224 enantiomer analysis (EA) have been applied to investigate biodegradation of  $\alpha$ -HCH by different  
225 microbial strains including *S. indicum* strain B90A, *S. japonicum* strain UT26 and *Clostridium*  
226 *pasterianum* (Badea et al., 2011; Bashir et al., 2013). Biodegradation of  $\alpha$ -HCH occurs under both  
227 aerobic and anaerobic conditions, involving dehydrochlorination and dichloro-elimination,  
228 respectively. We applied our model to reproduce the observed enantiomer and stable isotope signals  
229 and to differentiate enantiomer-specific degradation pathways of  $\alpha$ -HCH. Besides the  
230 experimentally investigated combination of C-ESIA with EA (Bashir et al., 2013), the validated  
231 model has been used to explore the potential of another combined two-dimensional approach (i.e.,  
232 C-CSIA combined with EA) to characterize different isotope and enantiomer selective reaction  
233 mechanisms.

234

235 **Table 1.** Chemical structures, enantiomers, stable isotopes and reaction mechanisms for the considered chiral  
236 organic pesticides.

Compound	Chemical structure	Reaction (and Mechanism)	Isotope	Reactive bonds	Reference
Dichlorprop (DCPP)	 R-DCPP                      S-DCPP	enzymatic reaction (oxidation of C-H bond)	$^{13}\text{C}/^{12}\text{C}$	C-H	Qiu et al., 2014
Mecoprop methyl ester (MCPMP)	 R-MCPMP                      S-MCPMP	enzymatic reaction (hydrolysis)	$^{13}\text{C}/^{12}\text{C}$	C-O	Jammer et al., 2014
$\alpha$ -hexachlorocyclohexane (HCH)	 (+)- $\alpha$ -HCH                      (-)- $\alpha$ -HCH	aerobic degradation (dehydrochlorination)  anaerobic degradation (dichloro-elimination)	$^{13}\text{C}/^{12}\text{C}$	H-C-C-Cl	Badea et al., 2011 Bashir et al., 2013

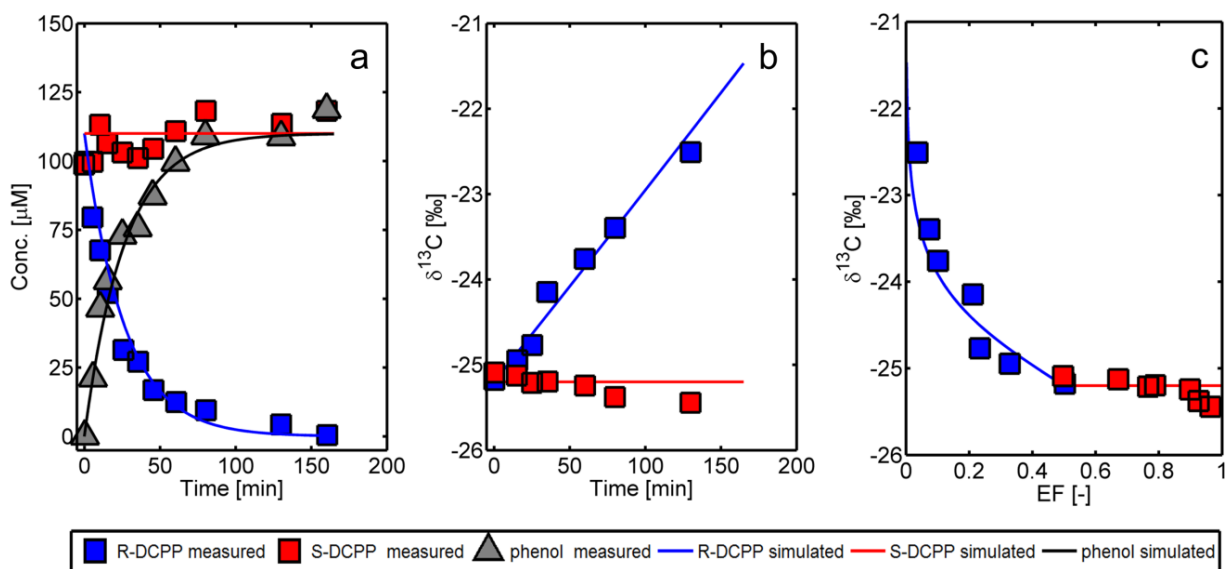
### 3. Results and discussion

#### 3.1. Enzymatic degradation of dichlorprop (DCPP)

Experimental data on concentration evolution of DCPP and its main metabolite (phenol), enantioselective effects and enantiomer specific carbon isotope fractionation were measured during degradation of this pesticide by enzyme RdpA from *Sphingobium herbicidovorans* MH (Qiu et al., 2014). The reported experimental observations are shown as symbols in Fig. 1 together with the outcomes of the simulations using the proposed integrated approach (solid lines). The enantiomer R-DCPP (blue) is consumed according to a first-order degradation kinetic with a reaction rate constant  $k_R=0.038\pm0.003 \text{ min}^{-1}$ , forming phenol (gray) as metabolite. The concentration of the other isomer (S-DCPP), instead, remains constant due to the selectivity of the RdpA enzymes that exclusively degrade the R enantiomer (Fig. 1a). The model accurately captures both the

consumption of the chiral pesticide degradation and the production of the metabolite. Also the isotopic signals observed during enzymatic degradation of DCPD are considerably different between R and S enantiomers. In fact, significant carbon isotope fractionation occurs only for R-DCPD, varying from -25.1 ‰ to -22.5 ‰, whereas no significant carbon isotope fractionation occurs for S-DCPD. Fig. 1b illustrates the observed and simulated temporal trends of carbon isotope ratios for both DCPD enantiomers. The model reproduces satisfactorily the linear increase of  $\delta^{13}\text{C}$  observed for R-DCPD as well as the stable isotopic composition of S-DCPD. Illustrative plots are also obtained by representing the stable carbon isotope signature as a function of the enantiomer fraction (Fig. 1c). The fast consumption of R-DCPD leads to decreasing R-enantiomer fraction (from 50% to 4%) and increasing S-enantiomer fraction (50% to 96%). Interestingly, a nonlinear relationship is observed for R-DCPD due to the considerably larger extent of enantiomer fractionation compared to the relatively small carbon isotope fractionation. This behavior is accurately predicted by the model, which results in a concave upward profile with increasing steepness as the reaction proceeds and the fraction of R-DCPD progressively decreases. The quantitative interpretation of the experimental data with the proposed integrated modeling approach allows simultaneously and accurately capturing the concentrations, stable isotopes and enantioselective behavior observed in the experimental study. A specific advantage that is worth noticing is the good performance of the model when its outcomes are compared to the experimental data in the two-dimensional plot combining stable isotopes and enantiomer fractionation.





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272 **Figure 1.** Isotope and enantiomer fractionation during enzymatic degradation of dichlorprop (DCPP). The  
 273 symbols represent experimental data reported by Qiu et al., 2014 and the solid lines are simulation results: (a)  
 274 concentration change of R- (blue squares) and S- (red squares) enantiomers of DCPP; (b) carbon isotope  
 275 fractionation for both R- and S-DCPP; and (c) two-dimensional plot combining carbon isotope and  
 276 enantiomer fractionation.

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### 3.2. Enzymatic hydrolysis of mecoprop methyl ester (MCPPM)

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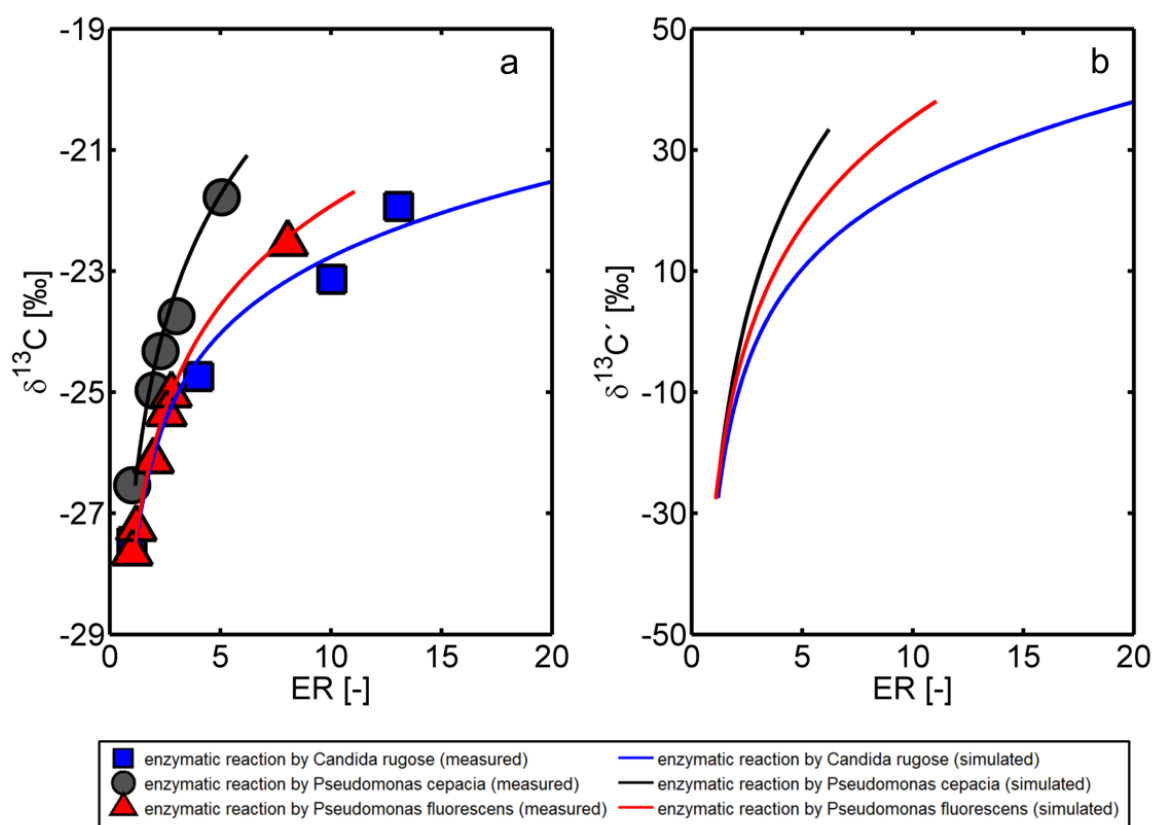
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Enantiomer and carbon isotope fractionation have been observed during enzymatic hydrolysis of a phenoxyalkanoic methyl herbicide, mecoprop methyl ester (MCPPM) (Jammer et al., 2014). The enzymatic reactions by different types of lipase enzymes, *Pseudomonas fluorescens*, *Candida rugose* and *Pseudomonas cepacia* were investigated combining compound specific stable isotope analysis (C-CSIA) with enantiomer analysis (EA). We simulate MCPPM degradation with a first-order kinetic model according to the unified framework outlined in Section 2 to integrate the quantitative description of enantioselectivity and stable isotope fractionation. The governing equations for the S and R enantiomers of MCPPM and the concentration evolution in the experiments conducted with the enzymes of the three different strains are reported in the Supplementary Material. Two-dimensional plots combining stable isotope and enantiomer fractionation are shown in Fig. 2. Notice that enantiomer fractionation is expressed as enantiomer

ratio, *ER*, since CSIA data do not allow discriminating between the different enantiomers and, thus, can only be presented as a function of *ER* rather than of the enantiomer fraction, *EF*. The carbon isotope fractionation of MCPMP occurs at similar extents for the three enzymatic reactions, where the following shifts in  $\delta^{13}\text{C}$  values were observed: 4.7 ‰ for *Pseudomonas cepacia*, 5.2 ‰ for *Pseudomonas fluorescens* and 5.6 ‰ for *Candida rugose*. Therefore, in this case, C-CSIA alone is not conclusive to clearly identify the three different enzymatic reactions. To this end, a two-dimensional approach combining C-CSIA with enantiomer analysis is highly beneficial and was proposed in the experimental study (Jammer et al., 2014). In fact, distinct enantiomer enrichments are observed for the three investigated reactions. Combining enantiomer fractionation and C-CSIA signals allows distinguishing and clearly visualizing the three enzymatic reactions (Fig. 2a). Similarly to what has been observed for DCPMP degradation, nonlinear relationships between compound specific carbon isotope ratios and enantiomeric ratios are also observed during enzymatic reactions of MCPMP. This is due to the much more significant changes of enantiomer ratios that are three orders of magnitude larger than the shifts in stable isotope ratios. The nonlinear behavior is well captured by the outcomes of the model that accurately reproduce the different trends observed for the three enzymatic reactions. The model results show bending trends that are more pronounced for the enzymatic reactions by *Candida rugose* and *Pseudomonas fluorescens*, which are characterized by more extensive enantiomer fractionation. The profiles characterizing the reaction mechanisms progressively become less steep at later reaction times and show extents of slope variations of 85% for *Pseudomonas cepacia*, 93% for *Pseudomonas fluorescens* and for 98% for *Candida rugose*. An additional direct outcome of the proposed modeling approach is the quantification of isotope ratios directly at the position experiencing isotope effects. This naturally stems from the model formulation based on enantiomer-specific isotopologues and its capability to track isotope ratios at isotopically sensitive positions (Eqs. 12-15). Position-specific isotope data

315 were not available for MCPPM degradation, but recent advances have shown the capability of  
 316 measuring changes of isotope ratios at specific molecular positions of certain organic compounds  
 317 (e.g., Wuerfel et al., 2013) and we expect that a number of future investigations will provide such  
 318 data for a wide range of organic pollutants. In Fig. 2b we present modeling results to describe  
 319 position-specific isotope fractionation occurring at the reactive carbon atom during enzymatic  
 320 hydrolysis of MCPPM combined with the corresponding enantiomer ratios. The three distinct  
 321 reactions are clearly identified in the two-dimensional plot with the advantage that the carbon  
 322 isotope fractionation reported in the ordinate axis is now characterized by a larger magnitude (i.e.,  
 323 60 ‰ for *Pseudomonas cepacia*, 66 ‰ for *Pseudomonas fluorescens* and 75 ‰ for *Candida*  
 324 *rugose*), since the model directly predicts the fractionation at reactive position without the dilution  
 325 effects of other non-reactive carbon atoms present in the pesticide molecule.



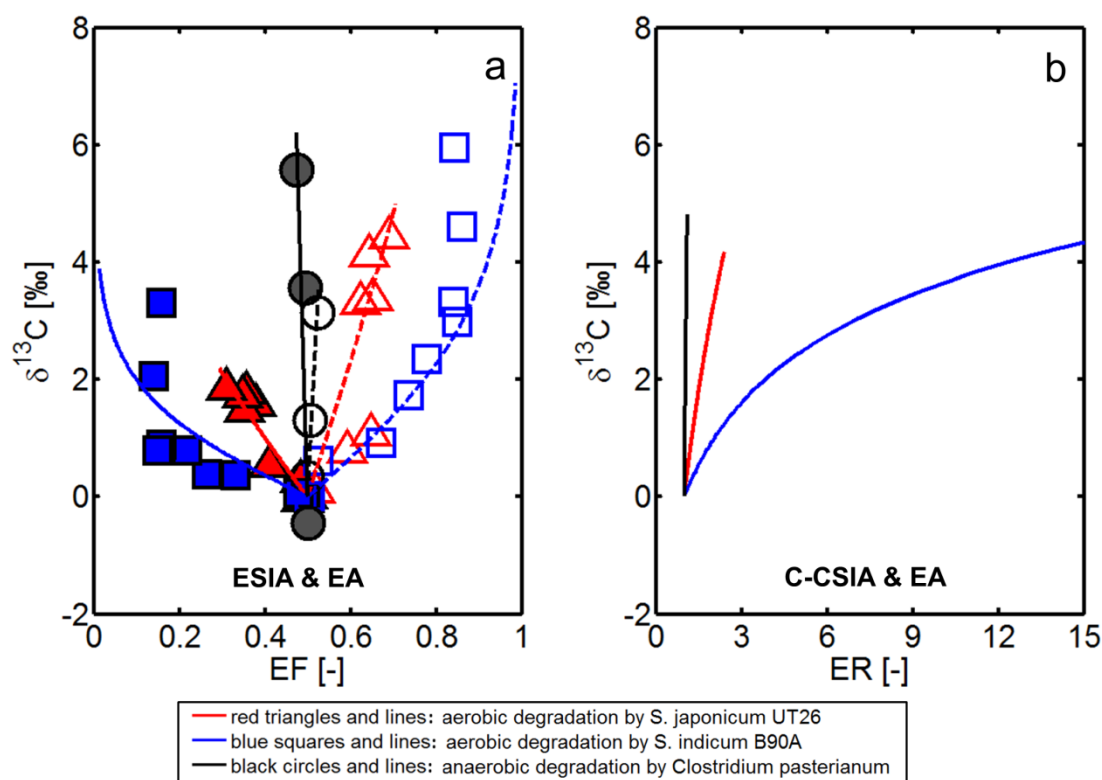
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327 **Figure 2.** Isotope and enantiomer fractionation during enzymatic degradation of mecoprop methyl ester  
 328 (MCPPM): (a) observed (symbols, Jammer et al., 2014) and simulated (lines) bulk isotope ratios and  
 329 enantiomer ratios; (b) simulated position-specific isotope fractionation as function of the enantiomer ratio.

### 3.3. Aerobic and anaerobic biodegradation of $\alpha$ -hexachlorocyclohexane ( $\alpha$ -HCH)

As last illustrative example to validate the proposed approach, we consider biodegradation of hexachlorocyclohexane which has been experimentally studied combining enantiomer analysis and enantiomer-specific isotope analysis, ESIA (Badea et al., 2011; Bashir et al., 2013). The degradation of  $\alpha$ -HCH by different microbial strains including *S. indicum* strain B90A, *S. japonicum* strain UT26 and *Clostridium pasterianum* was investigated in batch culture experiments. We provide a quantitative, model-based interpretation of the experimental data reported in the study of Bashir et al. 2013. We describe the observed concentration trends during  $\alpha$ -HCH biodegradation with Michaelis-Menten kinetics coupled to the dynamics of growth and decay of the different microbial strains (Supplementary Material). The modeling framework outlined above was adopted to jointly describe the evolution of the two  $\alpha$ -HCH enantiomers (identified by their optical activity: + and -) and the enantiomer-specific carbon isotope fractionation. As shown in Fig. 3a, the reactions by different microbial strains are clearly identified in the two-dimensional plot. Aerobic degradation by *S. indicum* strain B90A (squares) and *S. japonicum* strain UT26 (triangles) as well as the anaerobic biodegradation by *Clostridium pasterianum* (circles) yield different extents of both enantiomer and enantiomer specific carbon isotope fractionation. Anaerobic biodegradation of  $\alpha$ -HCH resulted in significant carbon isotope fractionation (by 6.0 ‰ and 3.2 ‰ for (+) and (-)  $\alpha$ -HCH, respectively), but almost no enantiomer fractionation was observed comparing with the aerobic reactions. This indicates that the enzymes involved in the anaerobic degradation of  $\alpha$ -HCH are rather isotopically-sensitive than enantiomer selective. Although the aerobic biodegradation by the two different strains have the same reaction mechanisms involving dehydrochlorination (Table 1), different extents of enantiomer fractionation were observed. The enantiomer fraction of  $\alpha$ -HCH varies by 17 % and 34 % for aerobic degradation with strain UT26 (triangles) and strain B90A

354 (squares), respectively. The distinction in the enantiomer fractionation might be caused by the  
 355 differences in the enzyme selectivity for the (+) and (-) enantiomers between the two microbial  
 356 strains. This observation for  $\alpha$ -HCH degradation (Bashir et al., 2013) is also consistent with  
 357 previous findings on enantiomer fractionation of other chiral compounds (e.g., Zipper et al., 1998).  
 358 The outcomes of the proposed modeling approach successfully reproduce the enantiomer-specific  
 359 isotope fractionation and the enantiomer enrichments observed in the experiments. The model  
 360 captures the distinct patterns observed during  $\alpha$ -HCH degradation by the three different microbial  
 361 strains under both aerobic and anaerobic conditions.



362

363 **Figure 3.** Isotope and enantiomer fractionation during aerobic and anaerobic biodegradation of  $\alpha$ -  
 364 hexachlorocyclohexane ( $\alpha$ -HCH) by three different microbial strains. The markers (closed symbols for (+)  $\alpha$ -  
 365 HCH and open symbols for (-)  $\alpha$ -HCH) represent the experimental data reported in Bashir et al., 2013 and  
 366 Badea et al., 2011 and the solid lines are the simulation results (a). The bulk carbon isotope ratios are plotted  
 367 with the enantiomer ratios in panel (b).

368

369 The modeling approach, validated above with the data combining enantiomer specific isotope  
370 analysis (C-ESIA) with enantiomer analysis (EA), was also used to test other possible combinations  
371 of two-dimensional approaches to identify and assess isotope and enantiomer selective reaction  
372 mechanisms. To this end, we consider the biodegradation of  $\alpha$ -HCH by the three microbial strains  
373 investigated by Badea et al. (2011) and Bashir et al. (2013) as well as the same Michaelis-Menten  
374 kinetics described above, and we explore the potential of a different combination of stable isotope  
375 and enantiomer analyses.

376 We consider a scenario analogous to the experimentally investigated case of  $\alpha$ -HCH degradation  
377 discussed above but with the only difference that bulk (and not enantiomer specific) carbon isotope  
378 analysis is combined with enantiomer analysis. The results are reported in the two-dimensional plot  
379 shown in Fig. 3b. The changes in carbon isotope ratios are reported on the ordinate axis whereas the  
380 enantiomer ratios (*ER*) are reported on the abscissa. The three different reactions are still adequately  
381 identified. The profile of aerobic degradation of *S. indicum* strain B90A (blue line) is clearly  
382 distinguished due to the strong enantiomer fractionation compared to the other two cases. The  
383 results characterizing  $\alpha$ -HCH degradation by *S. japonicum* strain UT26 (red line) and *Clostridium*  
384 *pasterianum* (black line) are still separated but appear to be closer than in the case of C-ESIA (Fig.  
385 3a). The difference observed between the scenario combining C-CSIA with EA (Figure 3b) and the  
386 experimentally investigated case of C-ESIA (Figure 3a) stems from the fact that CSIA cannot  
387 determine isotope ratios of individual enantiomers, but only the bulk carbon isotope ratios of the  
388 mixture of the two  $\alpha$ -HCH enantiomers. Notice that the x-axis in Figure 3b is different from the one  
389 in Figure 3a. In fact, without ESIA isotope data the carbon isotope ratios from CSIA can only be  
390 reported as a function of the enantiomer ratio and not as a function of enantiomer fraction.

391 The model-based analysis has shown that a combined interpretation of stable isotope and  
392 enantiomer fractionation is required when enantiomer-specific mechanisms play a crucial role

during chiral pesticides transformations. As shown in Fig. 3a and 3b, the combination of carbon isotope analysis (C-ESIA or C-CSIA) with enantiomer analysis can unambiguously distinguish the three reaction pathways of  $\alpha$ -HCH degradation.

## 4. Conclusions

Multiple lines of evidence are required to understand the environmental fate and to decipher intricate transformation processes of chiral pesticides in natural and engineered aquatic systems. To this end, advances in analytical capabilities have allowed to accompany traditional determination of pollutants and metabolites aqueous concentrations with measurements of enantiomer and multi-element stable isotope fractionation. In particular, the combination of enantioselective measurements and compound specific isotope analysis has recently emerged as a powerful tool to characterize biotransformation reactions. Different reaction mechanisms of chiral pesticides are effectively identified in two-dimensional plots combining enantiomer fractionation with stable isotope ratios. The approach proposed in this study provides a unified, quantitative tool for interpretation of chiral pesticides degradation based on the evolution of enantiomer-specific isotopologues. The model has been validated with data from experimental studies on enzymatic degradation of dichlorprop (DCPP), enzymatic degradation of mecoprop methyl ester (MCPPM) and microbial degradation of  $\alpha$ -hexachlorocyclohexane ( $\alpha$ -HCH) by different bacterial strains. A good agreement between the outcomes of the numerical simulations and the experimental data was obtained for all the different compounds and degradation pathways. The normalized root mean squared error (NRMSE) was used as a measure of the goodness of fit and yielded values in a range 0.021-0.355. Detailed values of NRMSE for the different concentrations, stable isotopes and enantiomers datasets are reported in the Supplementary Material (Table S5).

The main features of the proposed approach can be summarized in the following points:

- First-principle based and self-consistent integration of concentrations, stable isotopes and enantiomers data. The simultaneous description and the joint interpretation of these quantities allow naturally capturing the nonlinearity stemming from the significantly different extents of enantiomer and stable isotope fractionation. This can help overcoming difficulties that may arise in applying linear Rayleigh-based evaluations in presence of strong fractionating effects, as well as linear regressions in two-dimensional plots of stable isotopes vs. enantiomers fractionation.
- By tracking enantiomer-specific isotopologues the model is capable to identify and characterize isotope and enantiomer selective reaction mechanisms. The former involves shifts in isotopic compositions due to the cleavage of chemical bonds, whereas the latter results from the differential interactions of individual chiral pesticides' enantiomers with microbial enzymatic systems. The model formulation incorporates the mechanistic description of both fractionating systems.
- As illustrated for the case of  $\alpha$ -HCH biodegradation, the model can help assessing the potential, the advantages as well as the limitations of different two-dimensional approaches combining enantiomer analysis (EA) with isotope analysis (CSIA and ESIA).

In this study, specific examples of chiral pesticides degradation have been selected to illustrate the features of the proposed unified model. The modeling approach was applied to quantitatively describe the integrated evolution of carbon isotope and enantiomer ratios for various chiral organic pollutants. However, as illustrated in the mathematical formulation, the proposed model provides a general framework that allows combining enantiomer fractionation with the description of multi-element isotope fractionation. Furthermore, besides applications in batch aqueous solutions, the model can be further developed to describe contaminant degradation in more complex environmental systems including transport processes and interphase mass transfer.



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448

### 449 **Appendix A. Supplementary Material**

450 Supplementary material related to this article includes the model formulation and implementation,  
451 as well as the fitting procedure used to validate the proposed modeling approach with the  
452 experimental datasets.

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